

#6



Atty. Docket No. 139129-1005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

In re Application of: )  
John Santhoff, et al. )  
Serial No.: 09/802,590 )  
Filed: March 9, 2001 )  
For: ENCODING AND DECODING )  
ULTRA-WIDEBAND )  
INFORMATION )

**AUG 24 2001**  
Group Art Unit: 2631  
Technology Center 2600  
Petitions Attorney: Unknown

San Diego, California 92101  
August 16, 2001

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231  
08/16/2001 00000022 020410 09802590  
03 FC:122 130.00 CH

**PETITION UNDER 37 C.F.R. § 1.47(a) FOR**  
**EXAMINATION WITH A NON-SIGNING JOINT INVENTOR**

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Dear Sir/Madam:

In response to the Notice to File Missing Parts of Nonprovisional Application dated May 21, 2001, the following petition is submitted. Applicant notes that the deadline for filing a response has been extended by the enclosed Petition for Extension until August 21, 2001.

Pulse-Link, Inc., submits this Petition pursuant to 37 C.F.R. § 1.47(a) on the basis that a named co-inventor of the above-referenced patent application is unavailable by virtue of his refusal to provide an oath or declaration. It is therefore respectfully requested that the application be made by the available co-inventor and Pulse-Link, Inc., the owner of the application. A combined declaration and power of attorney, signed by the available inventor, John Santhoff, is attached as Exhibit 1.

08/22/2001 SSESHE1 00000088 09802590 65.00 0P 03 FC:205

The unavailable co-inventor, Rodolfo T. Arrieta, is a former employee of Pulse-Link, Inc. The last known address of Mr. Arrieta is: 3616 Oakbrook Lane, Panama City Beach, Florida 32408. On June 24, 2001, the available co-inventor, John Santhoff, met Mr. Arrieta at Mr. Arrieta's Oakbrook Lane residence (Exhibit 2, Declaration of John Santhoff). Mr. Santhoff presented the above-identified patent application, including the specification, claims, drawings and declaration, to Mr. Arrieta (Exhibit 2, ¶ 2). Mr. Santhoff requested that Mr. Arrieta sign the declaration (Exhibit 2, ¶ 2). Mr. Arrieta orally refused to sign the declaration.

In addition, Patricia L. Gullickson (aka Trish Lee), Vice-President of Administration at Pulse-Link, Inc., mailed a Federal Express package to Mr. Arrieta on July 28, 2001 (Declaration of Patricia Gullickson, Exhibit 3). Federal Express delivered the package to Mr. Arrieta's residence on July 30, 2001 (Exhibit 4). Included in the package was a copy of the patent application, including the specification, claims, drawings and declaration. A letter addressed to Mr. Arrieta was also included in the package (Exhibit 5). The letter requested that Mr. Arrieta review the application and sign the declaration and return it to Pulse-Link, Inc. by August 1, 2001 (Exhibit 5, page 2, ¶ 6). As of August 7, 2001, Pulse-Link, Inc. has not received the signed declaration from Mr. Arrieta (Exhibit 3, ¶ 2). Also included in the package was a pre-paid, pre-addressed, Federal Express return airbill (Exhibit 3, ¶ 2). As of August 13, 2001, Federal Express has no record of the return airbill (Exhibit 6).

Pulse-Link, Inc. will be irreparably damaged if this Petition is denied. A Notice to File Missing Parts of Application was mailed to Applicant on May 21, 2001. As Mr. Arrieta has not provided the necessary declaration, the only recourse for the Applicant is to submit this Petition. If this Petition is denied, the above-identified patent application will become abandoned.

**Conclusion**

Based on the foregoing it is respectfully submitted that all of the requirements set forth in 37 C.F.R. § 1.47(a) have been met and this petition must be granted. A check in the amount of \$65.00 is enclosed for the petition fee.

Respectfully submitted,



---

Peter R. Martinez

Reg. No. 42,845

Attorney for Applicant

c/o BAKER & McKENZIE

101 West Broadway, 12th Floor

San Diego, California 92101

Telephone: (619) 236-1441

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**OFFICE OF PETITIONS**

**DECLARATION OF JOHN SANTHOFF IN SUPPORT  
OF PETITION UNDER 37 CFR SECTION 1.47(a)**


I, John Santhoff, declare as follows:

1. I am the Chief Technical Officer at Pulse-Link, Inc., and I am fully familiar with the facts and circumstances in this matter as they relate to this declaration and, if called upon, I would and could competently testify thereto.

2. On Sunday, June 24, 2001, I met Rudy Arrieta at his residence: 3616 Oak Brook Lane, Panama City Beach, Florida 32408. I presented to him patent application serial no. 09/802,590, entitled: ENCODING AND DECODING ULTRA-WIDEBAND INFORMATION, which included the specification, claims, drawings, and declaration. I asked Mr. Arrieta to sign the declaration, but Mr. Arrieta orally refused.

3. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I declare under penalty of perjury of the laws of the State of California that the foregoing is true and correct. Executed this 7<sup>th</sup> day of August, 2001, at San Diego, California.

  
\_\_\_\_\_  
John Santhoff  
Chief Technical Officer  
Pulse-Link, Incorporated  
9155 Brown Deer Road, #8  
San Diego, California 92121

**DECLARATION OF PATRICIA L. GULLICKSON (aka TRISH LEE) IN  
SUPPORT OF PETITION UNDER 37 CFR SECTION 1.47(a)**

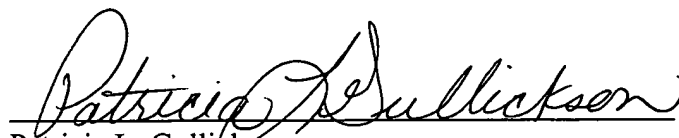
I, Patricia Gullickson, declare as follows:

1. I am Vice-President, Administration at Pulse-Link, Inc., and I am fully familiar with the facts and circumstances in this matter as they relate to this declaration and, if called upon, I would and could competently testify thereto.

2. On July 28, 2001, I sent a package via Federal Express to co-inventor Rudy Arrieta. Included in the package were the specification, claims, drawings and declaration for patent application serial no. 09/802,590, entitled: ENCODING AND DECODING ULTRA-WIDEBAND INFORMATION. Also in the Federal Express package was a return Federal Express air bill (tracking no. 823634870234), and a letter to Mr. Arrieta requesting that he sign and return the declaration to Pulse-Link, Inc. by August 1, 2001. As of today, August 7, 2001, Pulse-Link, Inc. has not received the return Federal Express package.

3. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I declare under penalty of perjury of the laws of the State of California that the foregoing is true and correct. Executed this 7<sup>th</sup> day of August, 2001, at San Diego, California.

A handwritten signature in cursive script, reading "Patricia L. Gullickson", written over a horizontal line.

Patricia L. Gullickson  
Vice-President Administration  
Pulse-Link, Incorporated  
9155 Brown Deer Road, #8  
San Diego, California 92121



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Tracking Number 828506501603

Reference Number

Ship Date

Delivered To Recipient

Delivery Location PANAMA CITY BEACH FL

Delivery Date/Time 07/30/2001 10:58

Signed For By T.RISH LEE

Service Type Priority Letter

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599276490042 In Transit  
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Link

Scan Activity	Date/Time	Comments
Delivered LYNN HAVEN FL	07/30/2001 10:58	No signature required - release waiver on file
Delivered LYNN HAVEN FL	07/30/2001 10:58	No signature required - release waiver on file
On FedEx vehicle for delivery LYNN HAVEN FL	07/30/2001 08:35	
Arrived at FedEx Destination Location LYNN HAVEN FL	07/30/2001 08:02	
Left FedEx Ramp TALLAHASSEE FL	07/30/2001 02:40	
Arrived at FedEx Ramp TALLAHASSEE FL	07/30/2001 01:15	
Left FedEx Sort Facility MEMPHIS TN	07/29/2001 16:39	
Left FedEx Sort Facility MEMPHIS TN	07/29/2001 14:49	
Left FedEx Sort Facility MEMPHIS TN	07/29/2001 09:31	
Picked up by FedEx SAN DIEGO CA	07/28/2001 17:10	
Left FedEx Origin Location SAN DIEGO CA	07/28/2001 17:01	

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From

To

To

To

Add a message to this email.



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Tracking Number 828506501603

Reference Number

Ship Date

Delivered To Recipient

Delivery Location PANAMA CITY BEACH FL

Delivery Date/Time 07/30/2001 10:58

Signed For By T.RISH LEE

Service Type Priority Letter

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599276490042 In Transit  
642927705534 In Transit  
820099403488 In Transit

Link

Scan Activity	Date/Time	Comments
Delivered LYNN HAVEN FL	07/30/2001 10:58	No signature required - release waiver on file
Delivered LYNN HAVEN FL	07/30/2001 10:58	No signature required - release waiver on file
On FedEx vehicle for delivery LYNN HAVEN FL	07/30/2001 08:35	
Arrived at FedEx Destination Location LYNN HAVEN FL	07/30/2001 08:02	
Left FedEx Ramp TALLAHASSEE FL	07/30/2001 02:40	
Arrived at FedEx Ramp TALLAHASSEE FL	07/30/2001 01:15	
Left FedEx Sort Facility MEMPHIS TN	07/29/2001 16:39	
Left FedEx Sort Facility MEMPHIS TN	07/29/2001 14:49	
Left FedEx Sort Facility MEMPHIS TN	07/29/2001 09:31	
Picked up by FedEx SAN DIEGO CA	07/28/2001 17:10	
Left FedEx Origin Location SAN DIEGO CA	07/28/2001 17:01	

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From

To

To

To

Add a message to this email.



**FEDEX. USA Airbill**  
Express

FedEx  
Tracking  
Number

828506501603

From *Please print and press hard.*

Date 7/28/01

Sender's FedEx  
Account Number

2586-8743-4

Sender's  
Name

Trish Lee

Phone 8581587-9001

Company

Pulse-Link, Inc

Address

9155 Brown Deer Rd #8

Dept./Floor/Suite/Room

City

San Diego

State

CA

ZIP

92121

Your Internal Billing Reference

First 24 characters will appear on invoice.

To

Recipient's  
Name

Rudy Amieta

Phone 850230-0490

Company

Address

3616 Oak Brook Lane

To "HOLD" at FedEx location, print FedEx address.

We cannot deliver to P.O. boxes or P.O. ZIP codes.

City

Panama City Bch

State

FL

ZIP

32408

Dept./Floor/Suite/Room

**Questions? Visit our Web site at [fedex.com](http://fedex.com)**

or call 1-800-Go-FedEx® (800)463-3339.

By using this Airbill you agree to the service conditions on the back of this Airbill and in our current Service Guide, including terms that limit our liability.

**Sender's Copy**

**4a Express Package Service**

☒ FedEx Priority Overnight  
Next business morning

☐ FedEx Standard Overnight  
Next business afternoon

Packages up to 150 lbs.

Delivery commitment may be later in some areas.

☐ FedEx First Overnight  
Earliest next business morning  
delivery to select locations

☐ FedEx 2Day  
Second business day  
FedEx Envelope rate not available. Minimum charge: One-pound rate

☐ FedEx Express Saver  
Third business day

☐ NEW FedEx Extra Hours  
Later drop-off with most business  
afternoon delivery to select locations

**4b Express Freight Service**

☐ FedEx 1Day Freight®  
Next business day

☐ FedEx 2Day Freight  
Second business day

Packages over 150 lbs.

Delivery commitment may be later in some areas.

☐ FedEx 3Day Freight  
Third business day

\* Call for Confirmation:

**5 Packaging**

☒ FedEx Envelope\*

☐ FedEx Pak\*  
Includes FedEx Small Pak, FedEx  
Large Pak, and FedEx Sturdy Pak

☐ Other Pkg.  
Includes FedEx Box, FedEx  
Tube, and customer pkg.

\* Declared value limit \$500

**6 Special Handling**

☐ SATURDAY Delivery  
RESTRICTIONS  
Available only for FedEx Priority  
Overnight and FedEx 2Day  
to select ZIP codes

☐ SUNDAY Delivery  
RESTRICTIONS  
Available only for FedEx Priority  
Overnight to select ZIP codes

☐ HOLD Weekday  
at FedEx Location  
RESTRICTIONS  
Not available with  
FedEx First Overnight

☐ HOLD Saturday  
at FedEx Location  
RESTRICTIONS  
Available only for FedEx Priority  
Overnight and FedEx 2Day  
to select locations

Include FedEx address in Section 3.

Does this shipment contain dangerous goods?

☐ No ☐ Yes  
As per attached  
Shipper's Declaration

☐ Dry Ice  
Dry Ice, 9, UN 1845

x kg

☐ Cargo  
Aircraft  
Only

**7 Payment**

Bill to:

Enter FedEx Acct. No. or Credit Card No. below.

☒ Sender  
Acct. No. in Section  
1 will be billed.

☐ Recipient

☐ Third Party

☐ Credit Card

☐ Cash/Check

FedEx Acct. No.  
Credit Card No.

Exp.  
Date

Total Packages

Total Weight

Total Declared Value\*

\$ .00

\*Our liability is limited to \$100 unless you declare a higher value. See back for details.

FedEx Use Only

**8 Release Signature**

Sign to authorize delivery without obtaining signature.

By signing you authorize us to deliver this shipment without obtaining a signature and agree to indemnify and hold us harmless from any resulting claims.

404

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From *Please print and press to A*  
Date 7/28/01 Sender's FedEx Account Number 2586-8743-4  
Sender's Name Trish Lee Phone 8581587-9001  
Company Pulse-Link, Inc  
Address 9155 Brown Deer Rd #8  
City San Diego State CA ZIP 92121

**Your Internal Billing Reference**  
First 24 characters will appear on invoice.

To Recipient's Name Rudy Amieta Phone 858230-0490  
Company \_\_\_\_\_

Address 3616 Oak Brook Lane  
To "HOLD" at FedEx location, print FedEx address. We cannot deliver to P.O. boxes or P.O. ZIP codes.

City Panama City Bch State FL ZIP 32408

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**4a Express Package Service**

☒ FedEx Priority Overnight Next business morning ☐ FedEx Standard Overnight Next business afternoon ☐ FedEx First Overnight Earliest next business morning delivery to select locations  
☐ FedEx 2Day Second business day ☐ FedEx Express Saver Third business day ☐ NEW FedEx Extra Hours Later drop-off with next business afternoon delivery for select locations

**4b Express Freight Service**

☐ FedEx 1Day Freight\* Next business day ☐ FedEx 2Day Freight Second business day ☐ FedEx 3Day Freight Third business day

\* Call for Confirmation

**5 Packaging**

☒ FedEx Envelope\* ☐ FedEx Pak\* Includes FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak ☐ Other Pkg. Includes FedEx Box, FedEx Tube, and customer pkg.

**6 Special Handling**

☐ SATURDAY Delivery RESTRICTIONS Available only for FedEx Priority Overnight and FedEx 2Day to select ZIP codes ☐ SUNDAY Delivery RESTRICTIONS Available only for FedEx Priority Overnight to select ZIP codes ☐ HOLD Weekday at FedEx Location RESTRICTIONS Not available with FedEx First Overnight ☐ HOLD Saturday at FedEx Location RESTRICTIONS Available only for FedEx Priority Overnight and FedEx 2Day to select locations  
Does this shipment contain dangerous goods?  
☐ No ☐ Yes As per attached Shipper's Declaration ☐ Dry Ice Dry Ice, 8, UN 1845 ☐ Cargo Aircraft Only

**7 Payment Bill to:**

☒ Sender Acct. No. in Section 1 will be billed. ☐ Recipient ☐ Third Party ☐ Credit Card ☐ Cash/Check

FedEx Acct. No.  
Credit Card No.

Exp.  
Date

Total Packages \_\_\_\_\_ Total Weight \_\_\_\_\_ Total Declared Value: \$ \_\_\_\_\_ .00

Your liability is limited to \$100 unless you declare a higher value. See back for details.

FedEx Use Only

**8 Release Signature**

Sign to authorize delivery without obtaining signature.

By signing you authorize us to deliver this shipment without obtaining a signature and agree to indemnify and hold us harmless from any resulting claims.

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9155 Brown Deer Road, Ste. 8  
San Diego, CA 92121  
Phone 858-587-9001  
Fax 858-587-8779  
www.pulse-link.net

## Ultra Wideband Wireless Solutions

July 27, 2001

Dear Rodolfo Arrieta,

For the past several months we have been attempting to contact you to execute certain signatures required in conjunction with various Pulse~LINK™ patent filing applications. This correspondence, sent to your most recent known address, represents our final attempt at resolving this issue.

Insomuch as you were engaged with Pulse~LINK™ under signed Employment Contract, Confidentiality and Invention assignment agreements, we are informed by our legal counsel at Baker & McKenzie that these contracts will meet the requirements of the US Patent and Trademark Office for assignment of patent rights as it relates to our filing efforts in the absence of your signature. Even so, pursuing this path will cost us additional time and money.

In the event that you do not have personal copies, we will be happy to provide you the referenced documents, however, I would like to take this occasion to remind you of certain provisions of your contractual obligations as follows:

### Employment Contract Provisions:

- **Employment at Will (page 1):** Our employment relationship is terminable at will, which means that either you or the Company may terminate your employment at any time, and for any reason of for no reason.
- **Confidentiality and Invention Assignment Agreement (page 1):** You will be subject to the Company's Confidentiality and Invention Assignment Agreement, which is enclosed with this letter and must be signed and returned by you before any employment relationship exists.

### Confidential Information and Invention Assignment Agreement for Employee Provisions:

- **Company Ownership (Page 2, Section 3(b)).** ~~All right, title and interest in and to all Subject Ideas and Inventions, including but not limited to all registrable and patent rights which may subsist therein, shall be held and owned solely by the Company,~~ and where applicable, all Subject Ideas and Inventions shall be considered works made for hire. I shall mark all Subject Ideas and Inventions with the Company's copyright or other proprietary notice as directed by the Company and shall take all actions deemed necessary by the Company to protect the Company's rights therein. In the event that the Subject Ideas and Inventions shall be deemed not to constitute works made for hire, or in the event that I should otherwise, by operation of law, be deemed to retain any rights (whether moral rights or otherwise) to any Subject Ideas and Inventions, ~~I agree to assign to the Company, without further consideration, my entire right, title and interest in and to each and every such Subject Idea and Invention.~~
- **Assistance (Page 3, Section 3(h)).** I further agree to assist the Company in every proper way (but at the Company's expense) to obtain and from time to time enforce patents, copyrights or other rights or registrations on said Subject Ideas and Inventions in any and all countries, ~~and to that end will execute all documents necessary.~~
- **Termination Obligations (Page 4, Section 6(c)).** Following any termination of the Period of Employment, ~~I will fully cooperate with the Company~~ in all matters relating to my continuing obligations under this Agreement.

"When we were young, we wanted to change the world...now we have the technology"™

- Injunctive Relief (Page 4, Section 7): I acknowledge that my failure to carry out any obligation under this Agreement, or a breach by me of any provision herein, will constitute immediate and irreparable damage to the Company, which cannot be fully and adequately compensated in money damages and which will warrant preliminary and other injunctive relief, an order for specific performance, and other equitable relief. I further agree that no bond or other security shall be required in obtaining such equitable relief and I hereby consent to the issuance of such injunction and to the ordering of specific performance. I also understand that other action may be taken and remedies enforced against me.
- Agreement to Perform Necessary Acts (Page 5, Section 20): I agree to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Agreement.
- Attorneys Fees (page 5, Section 14): Should either I or the Company, or any heir, personal representative, successor or permitted assign of either party, resort to legal proceedings to enforce this Agreement, the prevailing party (as defined in California statutory law) in such legal proceeding shall be awarded, in addition to such other relief as may be granted, attorneys' fees and costs incurred in connection with such proceeding.

The Company has offered incentive stock options in the amount of 1000 shares per patent, such options to be divided among those engineers who contributed materially to the innovation of patent-able work. Our attorneys at Baker & McKenzie advise us that not everyone who works on preparing a patent should be listed as an inventor, only those individuals that have contributed substantially to key innovative techniques defined in the patent claims. Regardless, all such Options are subject to full performance, which includes signing all documents necessary for proper filing with the US Patent and Trademark Office.

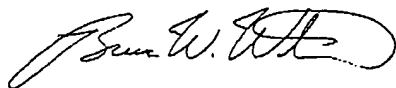
Our offer of Options is fully contingent upon your assistance and signature to appropriate filing paperwork as has been provided to you and as is your obligation under the contracts which you sign.

We must inform you that if you have failed to sign and return to Pulse~LINK™ all such appropriate documents as have been provided to you before the close of business, 5:00pm Pacific Standard Time on Wednesday, August 01, 2001, Pulse~LINK™ will proceed with patent filings using the Agreements between us as authority in absence of signature. All offers of stock Options will be immediately terminated.

Further, proceeding in this manner will undoubtedly come at expense to Pulse~LINK™ for added legal fees and the like. This added expense shall be a result of your failure to perform under the terms of our previous Agreements. The Company shall maintain the right to pursue you for any such added expenses, as well as all legal fees associated with such action.

You have an obligation to perform. If you do so, you will receive such stock Options as are warranted. We hope you will choose this easier path, but will proceed without your assistance regardless and will hold you responsible, as is our contractual right, for the pursuit of all damages or added expense realized as a result of your failure. You may call me to work this out prior to Wednesday, August 01, 2001.

Sincerely,



Bruce W. Watkins  
President and Chief Operating Officer

Cc: Harvey Rosen, CAO  
Bill Jarblum, of Counsel  
Peter Martinez, Baker & McKenzie



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